

**RECTOR REGULATION UNIVERSITAS NEGERI SURABAYA  
NUMBER 3, 2020**

**INTERNAL QUALITY ASSURANCE SYSTEM  
UNIVERSITAS NEGERI SURABAYA**

**RECTOR OF UNIVERSITAS NEGERI SURABAYA**

**Considering:**

- a. Universitas Negeri Surabaya referred to as Unesa is a state university that provides academic education and can provide vocational education in various scientific and / or technology clusters and if it meets the requirements it can provide professional education;
- b. Ministerial Regulation of Research, Technology and Higher Education (Permenristekdikti) Number 79 of 2017 concerning STATUTA of Universitas Negeri Surabaya in part XII Article 89 paragraph 1 The internal quality assurance system is a determination of the fulfillment of quality standards in the academic field consistently in the context of continuous quality improvement;
- c. Permenristekdikti Number 62 of 2016 article 4 paragraph 4 mentioned Higher Education Standards set by Education Institutions are compiled and developed by Higher Education institutions and stipulated in higher education leader regulations for state universities;
- d. Based on the considerations referred to in points a, b, and c, in accordance with the regulations of Universitas Negeri Surabaya concerning the Internal Quality Assurance System of Universitas Negeri Surabaya;

**Observing:**

1. Government Regulation Number 4 of 2011 concerning Implementation of Higher Education and Management of Higher Education (State Gazette of the Republic of Indonesia 2014 Number 16, Supplement to the State Gazette of the Republic of Indonesia Number 5500);
2. Presidential Regulation Number 8 of 2012 concerning the Indonesian National Qualifications Framework;
3. Ministerial Regulation of Education and Culture of the Republic of Indonesia Number 73 of 2013 concerning the implementation of the Indonesian National Qualification Framework for Higher Education;
4. Ministerial Regulation of Research, Technology and Higher Education Number 62 of 2016 concerning Higher Education Quality Assurance System (State Gazette of the Republic of Indonesia of 2016 Number 1462);
5. Ministerial Regulation of Education and Culture of the Republic of Indonesia Number 3 of 2020 concerning National Higher Education Standards (State Gazette of the Republic of Indonesia of 2020 Number 47);
6. Ministerial Regulation of Research, Technology and Higher Education Number 15 of 2016 concerning the Organization and Administration of Universitas Negeri Surabaya (State Gazette of the Republic of Indonesia of 2015 Number 889);
7. Ministerial Regulation of Research, Technology and Higher Education Number 79 of 2017 concerning the Statute of the Universitas Negeri Surabaya (State Gazette of the Republic of Indonesia of 2017 Number 1858);
8. Decree of the Minister of Research, Technology and Higher Education Number 461/M/KVI.KP/2018 concerning Dismissal and Appointment of the Rector of Universitas Negeri Surabaya for the Period 2018-2022;

**HAS DECIDED:**

**To enact:**

**RECTOR REGULATION OF UNIVERSITAS NEGERI SURABAYA CONCERNING THE  
INTERNAL QUALITY ASSURANCE SYSTEM OF UNIVERSITAS NEGERI SURABAYA**

## **CHAPTER I GENERAL PROVISIONS**

### **Article 1**

In the Rector Regulation what is meant by:

- (1) Education is a conscious and planned effort to create an atmosphere of learning and the learning process so that students actively develop their potential to have religious spiritual strength, self-control, personality, intelligence, noble character, and skills needed by themselves, society, nation and state.
- (2) Higher Education is a level of education after secondary education which includes diploma programs, undergraduate programs, master programs, doctoral programs, and professional programs, as well as specialist programs, which are organized by universities based on Indonesian culture.
- (3) Universitas Negeri Surabaya, also known as Unesa, is a state university that provides academic and vocational education in various science and/or technology and, if it meets the requirements it can also organize professional education.
- (4) Higher education quality is the level of conformity between the administration of higher education and Higher Education Standards consisting of the National Higher Education Standards in Higher Education Standards set by Higher Education Institutions.
- (5) Higher Education Quality Assurance System (SPM Dikti) is a systemic activity to improve the quality of higher education in a planned and sustainable.
- (6) Internal Quality Assurance System (SPMI) is a systemic activity of higher education quality assurance by each Higher institution independently to control and improve the implementation of higher education in a planned and sustainable manner.
- (7) The External Quality Assurance System (SPME) is an assessment activity through accreditation to determine the appropriateness and level of attainment of the quality of study programs and Higher institutions/universities.
- (8) Higher Education Database (PDDikti) is a nationally integrated data collection of all institutions/universities providers.
- (9) Internal quality assurance at Unesa is implemented and coordinated by the Learning Development and Quality Assurance Institute (LP3M).

#### **PURPOSE & FUNCTION OF THE INTERNAL QUALITY ASSURANCE SYSTEM**

### **Article 2**

- (1) Creating a control system for the implementation of higher education by higher education institutions independently, because higher education institutions have autonomy in the implementation of higher education.
- (2) The Internal Quality Assurance System functions to control the implementation of education, research, and community service by the academic community at Unesa to realize the implementation of education, research, and community service.

## **CHAPTER II QUALITY ASSURANCE ORGANIZATION**

### **Article 3**

- (1) The Learning Development and Quality Assurance Institute, also known as LP3M, is an institution in Unesa which has the task of implementing, coordinating, implementing, monitoring and evaluating activities to improve academic development and quality assurance.
- (2) The Quality Assurance Center (PPM) is a quality assurance organ located at the university level appointed and dismissed by the Rector.
- (3) Quality Assurance Group of quality assurance that was dismissed by the dean.

- (4) The Quality Assurance Unit (UPM) is a department level quality assurance organ that is appointed and dismissed by the dean.

#### **Article 4**

- (1) The PPM team assignment period is 4 years and can be extended or dismissed before the term of office ends by Rector of Unesa.
- (2) The term of office of GPM is for one year and can be extended or dismissed before the term of office expires by the dean.
- (3) The term of office of UPM is for one year and can be extended or dismissed before the term of office expires by the dean.

#### **Article 5**

- (1) The Quality Assurance Center consists of a chairman, a secretary, 4 heads of centers and 4 centers consisting of a Center for Academic Quality System Development Assessment (PPSMA), an accreditation center, an Internal Quality Audit center, and a data management and information system center.
- (2) The Quality Assurance Group consists of a chairman, a secretary, 4 divisions consisting of the Academic Quality System Development Assessment (PPSMA) division, the accreditation division, the Internal Quality Audit division and the data management and information system division.
- (3) The Quality Assurance Unit is located in a department which consists of 2 or more study programs (Prodi), then the quality assurance unit consists of a chairman and members who represent.

### **CHAPTER III MECHANISM OF INTERNAL QUALITY ASSURANCE SYSTEM**

#### **Article 6**

- (1) The Higher Education Quality Assurance System consists of:
  - a. Internal Quality Assurance System (SPMI);
  - b. External Quality Assurance System (SPME).
- (2) The Internal Quality Assurance System (SPMI) is planned, implemented, evaluated, controlled, and developed by higher education institutions.
- (3) The External Quality Assurance System (SPME) is planned, implemented, evaluated, controlled, and developed by BAN PT and / or LAM through accreditation in accordance with their respective authorities Higher education is planned, evaluated, implemented, controlled.
- (4) The output of SPMI implementation by Higher institutions is used by BAN PT or LAM to determine the status and ranking of an accredited university or study program.
- (5) Data, implementation information, and SPMI and SPME outputs are reported and stored by Higher institutions in the Higher Education Database.

#### **Article 7**

- (1) Planning, implementing, evaluating, controlling, and developing SPMI and SPME are based on Higher Education Standards.
- (2) Higher Education Standards consist of:
  - a. Higher Education National Standards; and
  - b. Higher Education Standards Set by Higher Education.
- (3) The National Higher Education Standards are prepared and developed by the National Higher Education Standards Agency (BAN PT) and stipulated in a Ministerial Regulation.
- (4) Higher Education Standards set by Higher Education Institutions are compiled and developed by universities and are stipulated in the regulations of higher education leaders for State Universities.

#### **Article 8**

- (1) Higher Education Standards at Unesa consist of:
- (2) National Higher Education Standards as referred to in article (1.a) are standard units covering national education standards, research standards, and community service standards.
- (3) Higher Education Standards as referred to in article (1.b) consist of a number of standards in academic and non-academic fields that exceed the National Higher Education Standards
- (4) The development of quality standards in the Study Program refers to University standards. It can also be developed by the Study Program in accordance with higher education standards and can be allowed to exceed university standards.

#### **Article 9**

- (1) Higher education institutions have the duties and authorities: compiling documents, planning, implementing, evaluating, controlling, and developing SPMI;
- (2) SPMI document which consists of:
  1. SPMI policy document;
  2. SPMI manual document;
  3. Standard document in SPMI; and
  4. Form documents used in SPMI;
  - 5.

### **CHAPTER IV IMPLEMENTATION OF THE INTERNAL QUALITY ASSURANCE SYSTEM**

#### **Article 10**

SPMI has a cycle of activities which consists of:

- a. Setting Higher Education Standards;
- b. Implementation of Higher Education Standards;
- c. Evaluation of the implementation of Higher Education Standards
- d. Control of the implementation of Higher Education Standards; and
- e. Increasing Higher Education Standards.

#### **Article 11**

- (1) The determination of higher education standards at Unesa includes standards of education, research, community service and internal standards of Unesa carried out by the Quality Assurance Center based on the National Higher Education Standards (SN Dikti), Unesa's vision and mission, and BAN PT regulations.
- (2) Quality Assurance Clusters and Quality Assurance Units are allowed to prepare study program standards, especially those on education standards with indicators to meet the Higher Education National Standards and to exceed Unesa teaching standards.
- (3) The Education Standards for the Undergraduate Program are developed by faculty leaders, department leaders, study program leaders with GPM and UPM referring to the Unesa Undergraduate education standards, as well as referring to the 9 accreditation criteria.
- (4) Educational Standards for Masters and Doctoral Programs are developed by postgraduate leaders, study program leaders with GPM and UPM referring to the Unesa Masters and Doctoral education standards, and refers to the 9 criteria for accreditation requirements.

#### **Article 12**

The implementation of national higher education standards and higher education internal standards is the implementation of quality standards in the implementation of education, research and community service set by the Quality Assurance Center by the academic community at Unesa.

### **Article 13**

- (1) Evaluation of standard implementation is carried out through internal quality audits and online and offline monitoring evaluations (MONEV) through SIMPPM.
- (2) Internal quality audits are carried out by proven AMI auditors who have passed the internal quality audit training. Implemented under the control of the Unesa Quality Assurance Center.
- (3) Monitoring and evaluation is an activity to determine the level of standard compliance in the quality standard implementation process at Unesa.
- (4) Internal quality audits are activities to determine compliance with the implementation of standards, examine the suitability of directions in the implementation of standards and ensure consistency in the implementation of quality standards at Unesa.
- (5) The results of Monev and internal quality audits were reported to the Rector of Unesa.
- (6) The Rector conducts a Management Review Meeting to determine the follow-up to the internal quality audit results.

### **Article 14**

- (1) Standard control is a corrective or improvement action to ensure compliance with the criteria / indicators / orders in the standard.
- (2) Standard control is carried out by the Quality Assurance Center at the University level, the Quality Assurance Group at the faculty level and or the Quality Assurance Unit at the Department level.

### **Article 15**

- (1) Standard improvement is an activity to formulate new standards after the established quality standards have been met.
- (2) The basis for the formulation of the new standard is the Higher Education National Standard, the vision and mission of Unesa's goals, changes to the Unesa milestone, the BAN PT accreditation instrument.
- (3) Standard improvement is carried out by the quality assurance center / quality assurance group / quality assurance unit / institution in Unesa.

## **CHAPTER V MISCELLANEOUS PROVISION**

### **Article 16**

To support all work units in setting, implementing, evaluating, controlling and improving quality standards, Unesa SPMI documents / books are provided which are compiled per standard. The SPMI document consists of academic policies, standard manuals, standards, and relevant forms.

## **CHAPTER VI CLOSING PROVISIONS**

### **Article 17**

With the issuance of the Rector's Regulation, the Rector's Regulation on the 2016 Unesa Quality Assurance System is declared invalid.

### **Article 18**

The Chancellor's Regulation regarding SPMI officially takes effect from the date of stipulation.

Established in Surabaya  
on February 26 2020  
RECTOR OF UNIVERSITAS NEGERI SURABAYA,

NURHASAN

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